



## IMPORTANT NOTICE<sup>1</sup>

### Amended privacy statement – dated 12 March 2014

In relation to the following products (“Products”) and Product Disclosure Statements (“PDSs”) issued by UBS Investments Australia Pty Limited ABN 79 002 585 677 and arranged by UBS Securities Australia Limited ABN 62 008 586 481, AFSL 231098, the amended privacy statement below updates the privacy notice or privacy policy summary (however described) set out in each PDS:

Product name	PDS dated
Investec GEM	11 February 2011
UBS Callable Goals - Series 4	27 July 2011
UBS PIP - Series 18	7 September 2011
UBS PIP - Barrier Series 19	31 October 2011
Instreet Yield - Series 1	16 April 2012
UBS Callable Goals - Series 10	24 May 2012
UBS Callable Goals - Series 11	25 June 2012
UBS PERLES+ - Series 19	8 October 2012
UBS Goals+ - Series 23 to 32	10 October 2012
UBS Callable Goals - Series 12	24 October 2012
UBS Callable Goals - Series 13	26 November 2012
UBS Callable Goals - Series 14	11 December 2012
UBS Perles+ - Series 21	23 January 2013
UBS Callable Goals - Series 16	22 February 2013
UBS Callable Goals - Series 18	19 April 2013
UBS Callable Goals - Series 19	26 August 2013
UBS Callable Goals - Series 20	24 September 2013
UBS Callable Goals - Series 21	11 October 2013
UBS PIP - Series 20 and 21	11 October 2013
UBS Callable Absolute Return Barrier (UBS-CARB) Units - Series 3	30 October 2013
UBS Callable Goals - Series 22	10 December 2013
UBS Callable Absolute Return Barrier (UBS-CARB) Units - Series 4	12 December 2013
UBS Callable Goals - Series 23	14 January 2014
UBS Callable Goals - Series 24	21 February 2014

While this amendment is not considered to be materially adverse to investors, it should be taken into account when making a decision to invest under any of the PDSs.

#### For prospective and new investors

If you apply for the financial products described in the PDS by lodging an Application Form<sup>2</sup> with your Approved Adviser, you acknowledge and agree that:

<sup>1</sup> This notice is provided pursuant to ASIC Class Order 03/237 *Updated information in product disclosure statements* and is not information that is materially adverse to Investors in the relevant products.

<sup>2</sup> Capitalised terms in this notice have the meaning given to them in the relevant PDS unless otherwise defined in this notice



- (a) the Issuer and your Approved Adviser collect, hold, use and disclose your Personal Information<sup>3</sup> for the purpose of: processing your Application, issuing the financial products, managing your investment, complying with relevant laws and offering you further services, which may include using your Personal Information for marketing purposes (in which case you will be given the opportunity to request that your Personal Information not be used for future direct marketing);
- (b) in addition to paragraph (a), the Issuer collects, holds, uses and discloses your Personal Information for the purpose of:
  - (i) assessing whether to accept your Application;
  - (ii) preparing any documentation relevant to, and to maintain, your investment in the financial products;
  - (iii) effecting investments in the financial products in your name(s);
  - (iv) communicating with you in relation to the financial products;
  - (v) complying with legislative or regulatory requirements;
  - (vi) performing the Issuer's administrative operations;
- (c) the Issuer may disclose all or some of your Personal Information to:
  - (i) related bodies corporate that might not be governed by Australian laws for the purpose of account maintenance and administration, including related bodies corporate in China, Hong Kong, India, Poland, New Zealand, Singapore, Switzerland, United Kingdom, and United States of America. Such Personal Information will be processed in accordance with applicable data protection law in such jurisdictions;
  - (ii) share registries, custodians, external contracts and service providers and certain software providers (in each case both onshore and offshore including China, Hong Kong, India, Poland, New Zealand, Singapore, Switzerland, United Kingdom, and United States of America) related to the operational management and settlement of the Units;
  - (iii) regulatory authorities such as the ASX;
  - (iv) other third parties for the purpose of account maintenance and administration or marketing research;
- (d) the Issuer may:
  - (i) give your Personal Information to:
    - (A) the Issuer's agents, contractors and external advisers;
    - (B) regulatory bodies, government agencies, law enforcement bodies and courts; and
    - (C) the entities in which investments are made and/or to any agents or contractors, for the purpose of administering my/our investment or administering or enforcing the Guarantee (if applicable);

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<sup>3</sup> "Personal Information" has the meaning given in the *Privacy Act 1998* (Cth).



(ii) collecting your Personal Information from, and giving it to, your executor, administrator, trustee, guardian or attorney and your agents and representatives (including my finance broker, legal and financial adviser); and

(iii) provide your ABN to the Issuer and its related entities,

even if the disclosure of your Personal Information is to an entity overseas, including any jurisdiction set out in (c)(i) or (ii) above, regardless of whether or not such entity is subject to privacy obligations equivalent to those which apply to the Issuer.

If you do not provide the Personal Information the Issuer or your Approved Adviser requires, your Application may not be processed.

All Personal Information collected from you will be collected, used and stored by the Issuer in accordance with the Issuer's privacy policy, a copy of which can be made available to you on request. To obtain a copy, please contact the Issuer on 1800 633 100 or refer to the Issuer's website.

All Personal Information collected from you will be collected, used and stored by your Approved Adviser. Please contact your Approved Adviser for a copy of its privacy policy. The Issuer or your Approved Adviser and/or its associates may wish to communicate with you in the future about other investment opportunities which may be of interest to you. If you do not wish to be contacted for these purposes, please indicate so on the Application Form or contact the Issuer or your Approved Adviser (as appropriate).

You may have rights to access and correct your Personal Information, and in some circumstances make complaints regarding the use, holding or disclosure of your Personal information by the Issuer or your Approved Adviser. The privacy policy of the Issuer contains information regarding the exercise of such rights in relation to access, correction and complaints.

### **For product holders**

The Issuer has updated its privacy policy to encompass recent reforms to the *Privacy Act 1998* (Cth). The Issuer will treat all Personal Information it has collected from you in accordance with its privacy policy dated 12 March 2014 (and as amended from time to time).

A copy of the policy can be made available to you on request. To obtain a copy, please contact the Issuer on 1800 633 100 or refer to the Issuer's website [www.ubs.com/equitysolutions](http://www.ubs.com/equitysolutions).